

Audit and Standards Work Programme 2022-23- Working Copy

<b>Date</b>	<b>Item</b>	<b>Author</b>
16 June 2022	Internal Audit Plan 2022/23	Linda Hunter (Senior Finance Manager)
	Progress in High Opinion Reports	Linda Hunter (Senior Finance Manager)
	New Housing System and Project Update	Ajman Ali (Executive Director Operational Services)
	Government Response to The Committee on Standards in Public Life Report	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Reporting	Helen Molteno (Corporate Risk Manager)
7 July 2022	Closed Meeting with Members and Ernst & Young	
28 July 2022	Summary of Statement of Accounts	Ryan Keyworth (Director of Finance and Commercial Services)
	Internal Audit Annual Fraud Report	Stephen Bower (Finance and Risk Manager)
	Role of the Audit Committee and Training	Claire Sharratt (Senior Finance Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
22 September 2022	Virtual Training – Learning lessons from recent reports	External Facilitator Bethany Evans
22 September 2022	External Audit Plan 2021/22	External Auditor (EY)

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	Annual Internal Audit Report	Linda Hunter (Senior Finance Manager)
	Formal Response to Audit (ISA 260) Recommendations	Ryan Keyworth (Director of Finance and Commercial Services)
	Interim Standards Complaints Report (Half Yearly)	Gillian Duckworth (Director of Legal and Governance)
	Annual Governance Statement	Gillian Duckworth (Director of Legal and Governance)
20 October 2022	Closed meeting with Members and External Auditors	
17 November 2022	Annual Corporate Complaints Report & Annual Ombudsman Report 2021/22	Corleen Bygraves-Paul (Service Delivery Manager)
	Work Programme	David Hollis (Interim Director of Legal and Governance)
22 December 2022	CANCELLED	
19 January 2023	Progress in High Opinion Reports	Linda Hunter (Senior Finance Manager)
	Code of Corporate Governance	David Hollis (Interim Director of Legal and Governance)
	Information Management Annual Report	Sarah Green (Senior Information Management Officer)
	Work Programme	David Hollis (Interim Director of Legal and Governance)
	Strategic Risk Reporting	Helen Molteno (Corporate Risk Manager)
16 February 2023	External Audit Update Report	External Auditor (EY)
	Annual Standards Report	David Hollis (Interim Director of Legal and Governance)

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	Review of Members' Code of Conduct and Complaints Procedure	David Hollis (Interim Director of Legal and Governance)
	Findings of the recommendations on the Annual Complaints and Ombudsman Report from 17 November 2022.	Corleen Bygraves-Paul (Service Delivery Manager)
	Housing Management System – Audit Update	Robert Parkin (Assistant Director Legal and Governance)
	Work Programme	David Hollis (Interim Director of Legal and Governance)
9 March 2023	Statement of Accounts (Audited)	Tony Kirkham (Interim Director of Finance)
	Report of those Charged with Governance (ISA 260)	External Auditor (EY)
	Update on Governance Issues outlined in the Annual Governance Statement	David Hollis (Interim Director of Legal and Governance)
	Whistleblowing Policy Review	Elyse Senior-Wadsworth (Head of Human Resources)
	Community Schools Update	Andrew Jones (Director of Education and Skills)
	Work Programme	David Hollis (Interim Director of Legal and Governance)
13 April 2023	Internal Audit Plan 2023/24	Linda Hunter (Senior Finance Manager)
	Compliance to International Auditing Standards	Tony Kirkham (Interim Director of Finance)
	Work Programme	David Hollis (Interim Director of Legal and Governance)
July / August 2023	Audit Training	External Facilitator (TBC)
June 2023	Progress in High Opinion Reports	Linda Hunter (Senior Finance Manager)
	Internal Audit Annual Fraud Report	Linda Hunter (Senior Finance Manager)

	Strategic Risk Update	Helen Molteno (Corporate Risk Manager)
	Work Programme	David Hollis (Interim Director of Legal and Governance)

## IMPORTANT INFORMATION FOR REPORT WRITERS

The Audit and Standards Committee provides an independent and high-level focus on the audit, assurance and reporting arrangements that underpin good governance and financial standards.

The purpose of the Committee is to provide independent assurance to the Council of the adequacy of the risk management framework and the internal control environment. It provides independent review of Sheffield City Council's governance, risk management and control frameworks and oversees the financial reporting and annual governance processes. It oversees internal audit and external audit, helping to ensure efficient and effective assurance arrangements are in place.

The Committee also cover Standards and is primarily responsible for promoting and maintaining high standards of conduct by councillors, independent members, and co-opted members. It is responsible for advising and arranging relevant training for members relating to the requirements of the code of conduct for councillors. The Committee also monitor the Council's complaints process and the Council's response to complaints to the Ombudsman.

The Committee is not an operational committee, so is not focussed on the day to day running of your service. However, its focus is on risk management and governance, so it will want to understand how you manage your key risks, and how you are responding to new challenges and developments. In particular the Committee will be interested in the progress on implementing agreed recommendations from inspection and audit reports, and will want to review your services' outputs and actions in response. You can expect some challenge if deadlines for implementing agreed actions have been missed. Please ensure breakdowns of information are included in your report, as the Committee is interested in the key facts and figures behind areas.

Most Audit and Standards papers are public documents, so use everyday language, and use plain English, don't use acronyms, or jargon and explain any technical terms. Assume the reader knows little about your subject.

Think about how the paper will be interpreted by those who read it including the media.

Use standard format - don't subvert it.

**Ensure** – You convey the key message in the first paragraph not the last.

The report should include –

- **Summary**
- **Recommendation (s)**
- **Introduction**
- **Background**
- **Main body of the report (in. legal, financial and all other relevant implications)**

(report templates are available from Democratic Services)

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